

10-01-01

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ATTORNEY'S DOCKET NO. BAI525-560/01840

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Assistant Commissioner of Patents  
Washington, DC 20231

11000 U.S. PTO  
09/966313  
09/28/01

**NEW APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application of:

**Inventor(s): Paul Entwistle**

**For (title): ELECTRONIC DOCUMENT STORAGE SYSTEM**

**1. Type of Application**

This new application is for a(n)

☒ Original (nonprovisional)

☐ Design

☐ Plant

**2. Papers Enclosed**

A. Required for filing date under 37 C.F.R. Section 1.53(b) (Regular) or 37 C.F.R. Section 1.153 (Design) Application

8 Pages of specification

3 Pages of claims

1 Sheets of drawing

☐ The enclosed drawing(s) are photograph(s) and there is also attached a  
PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S) 37 C.F.R.  
1.84(b)

☐ informal

☒ formal

B. Other Papers Enclosed

Pages of declaration and power of attorney

1 Pages of abstract

☐ Other

EXPRESS MAIL: Mailing Label No. EL779651910US

Date of Deposit: 28 September 2001

I hereby certify that this paper or fee is being deposited with  
the United States Postal Service "Express Mail Post Office to  
Addressee" service under 37 CFR 1.10 on the date indicated  
above and is addressed to the Director for Patents,  
Box: New Application, Washington, DC 20231 by Amy Miles:

Amy Miles

3. **Additional Papers Enclosed**

- ☐ Amendments to claims
- ☐ Cancel in this application claims \_\_\_\_\_ before calculating the filing fee. (At least one original independent claims must be retained for filing purposes).
- ☐ Add the claims shown on the attached amendment. (Claims added have been numbered consecutively following the highest numbered original claims.)
- X Preliminary Amendment
- ☐ Information Disclosure Statement (37 C.F.R. Section 1.98)
- ☐ Form PTO-1449 (PTO/SB/08A and 09B)
- ☐ Citations
- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing" computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
- ☐ Authorization of Attorney(s) to Accept and Follow Instructions from Representative
- ☐ Special Comments
- ☐ Other

4. **Declaration of oath (including power of attorney)**

- Enclosed
- Executed by
- inventor(s)
- ☐ legal representative of inventor(s) 37 CFR Sections 1.42 and 1.43
- ☐ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.
- ☐ This is a petition required by 37 CFR Section 1.47 and the statement required by 37 CFR Section 1.47 is also attached. See item 13 below for fee.
- XX Not Enclosed

5. **Inventorship Statement**

The inventorship for all of the claims in this application are:

- XX The Same
- Or
- ☐ Not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made,
- ☐ is submitted
- ☐ will be submitted

6. **Language**

- XX English
- ☐ Non-English
- ☐ The attached translation includes a statement that the translation is accurate. 37 CFR 1.52(d)

| Sensitivity |       | Specificity |       | Accuracy |       | Precision |       | Recall   |       | F1 Score |       |
|-------------|-------|-------------|-------|----------|-------|-----------|-------|----------|-------|----------|-------|
| Model       | Value | Model       | Value | Model    | Value | Model     | Value | Model    | Value | Model    | Value |
| Model A     | 0.95  | Model B     | 0.92  | Model C  | 0.90  | Model D   | 0.88  | Model E  | 0.85  | Model F  | 0.82  |
| Model G     | 0.80  | Model H     | 0.78  | Model I  | 0.75  | Model J   | 0.72  | Model K  | 0.70  | Model L  | 0.68  |
| Model M     | 0.65  | Model N     | 0.62  | Model O  | 0.60  | Model P   | 0.58  | Model Q  | 0.55  | Model R  | 0.52  |
| Model S     | 0.50  | Model T     | 0.48  | Model U  | 0.45  | Model V   | 0.42  | Model W  | 0.40  | Model X  | 0.38  |
| Model Y     | 0.35  | Model Z     | 0.32  | Model AA | 0.30  | Model AB  | 0.28  | Model AC | 0.25  | Model AD | 0.22  |
| Model AE    | 0.20  | Model AF    | 0.18  | Model AG | 0.15  | Model AH  | 0.12  | Model AI | 0.10  | Model AJ | 0.08  |
| Model AK    | 0.05  | Model AL    | 0.02  | Model AM | 0.00  | Model AN  | 0.00  | Model AO | 0.00  | Model AP | 0.00  |

X

8.

Certified copy(ies) of the application(s)

|         |                 |                   |
|---------|-----------------|-------------------|
| Country | Application No. | Filed             |
| GB      | 0024000.2       | 30 September 2000 |
|         |                 |                   |

X

9.

### A. Regular Application

| Number Filed       | Number of Extra | Rate  | Basic Fee<br>\$710 |
|--------------------|-----------------|-------|--------------------|
| Total              | 18              | \$18  | 710                |
| Independent        | 2               | \$80  |                    |
| Multiple Dependent |                 | \$270 |                    |

\_\_\_\_\_

### FILE FEE CALCULATION

\$710

### B. Design Application

### FILE FEE CALCULATION

### C. Plant Application

## FILE FEE CALCULATION

10.

\_\_\_\_ Applicant herein states that it is entitled to small entity status as required under 37 CFR 1.27

## 11. Request for International-Type Search

\_\_\_\_ Please prepare an international-type search report for this application at the time when national examination on the merits on takes place

**12. Fee Payment Being Made at This Time**

Not Enclosed

XX Enclosed

XX Filing fee

\$ 710

## Assignment

\$

— Petition fee for filing other than all  
of the inventors

\$

— For processing an application with a specification in a non-English language

\$

Processing and retention fee \_\_\_\_\_

\$

Free for international-type search report

\$

**TOTAL**

\$ 710

### 13. Method of Payment of Fees

XX Check in the amount of

**\$710**

XX Charge Account No. 08-1500 in the amount of

#### 14. Authorization to Charge Additional Fees

XX The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 08-1500

XX filing fees 37 CFR Section 1.16(a), (f) or (g)

XX presentation of extra claims 37 CFR Section 1.16(b), (c) and (d)

XX surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application 37 CFR Section 1.16(e)

XX extension fees pursuant to Section 1.135(a) 37 CFR Section 1.17(a) (1-5)

XX application processing fees 37 CFR 1.17

**15. Instructions as to Overpayment**

XX Credit Account No. 08-1500

HEAD, JOHNSON &amp; KACHIGIAN

Dated: 28 September 2001

By:

Mark G. Kachigian, Reg. No. 32,840

228 West 17th Place

Tulsa, Oklahoma 74119

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Attorneys for Applicant

Customer No. 24118

**Relate Back – 35 USC Section 119 Priority Claim for Prior Application**

The prior British application, including any prior international Application designating the US, identified above in item 17B in turn itself claim(s) foreign priority(ies) as follows:

Country      GB                      Appln. No. 0024000.2                      Filed: 30 September 2000

The certified copy(ies) has(have) been

\_\_\_\_\_ filed on \_\_\_\_\_, in prior application No. \_\_\_\_\_  
\_\_\_\_\_ is attached hereto  
☒ will follow

0024000.2